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PTO/SB/05 (12/97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.Please type a plus sign (+) inside this box → ☐**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5/1213

Total Pages 212

First Named Inventor or Application Identifier

Hauel, Norbert; et al

Express Mail Label No. EM 507234131US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 203]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets ☐
4. Oath or Declaration [Total Pages ☐
- a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application.
Status still proper and desired.
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin: 5px 0;">Note: Effective October 1, 1997. Patent fees are subject to annual revision.</p>	Complete if Known												
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td></td> </tr> <tr> <td>First Named Inventor</td> <td>Hauel, Norbert; et al</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>5/1213</td> </tr> </table>	Application Number		Filing Date		First Named Inventor	Hauel, Norbert; et al	Group Art Unit		Examiner Name		Attorney Docket Number	5/1213
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METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 02=2955</p> <p>Deposit Account Name: </p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<h3>3. 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105 130	205 65	Surcharge - late filing fee or oath																																																																																																																																																																																															
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																															
139 130	139 130	Non-English specification																																																																																																																																																																																															
147 2,520	147 2,520	For filing a request for reexamination																																																																																																																																																																																															
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																															
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																															
115 110	215 55	Extension for reply within first month																																																																																																																																																																																															
116 400	216 200	Extension for reply within second month																																																																																																																																																																																															
117 950	217 475	Extension for reply within third month																																																																																																																																																																																															
118 1,510	218 755	Extension for reply within fourth month																																																																																																																																																																																															
128 2,060	228 1,030	Extension for reply within fifth month																																																																																																																																																																																															
119 310	219 155	Notice of Appeal																																																																																																																																																																																															
120 310	220 155	Filing a brief in support of an appeal																																																																																																																																																																																															
121 270	221 135	Request for oral hearing																																																																																																																																																																																															
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																																																																																															
140 110	240 55	Petition to revive - unavoidable																																																																																																																																																																																															
141 1,320	241 660	Petition to revive - unintentional																																																																																																																																																																																															
142 1,320	242 660	Utility issue fee (or reissue)																																																																																																																																																																																															
143 450	243 225	Design issue fee																																																																																																																																																																																															
144 670	244 335	Plant issue fee																																																																																																																																																																																															
122 130	122 130	Petitions to the Commissioner																																																																																																																																																																																															
123 50	123 50	Petitions related to provisional applications																																																																																																																																																																																															
126 240	126 240	Submission of Information Disclosure Stmt																																																																																																																																																																																															
581 40	581 40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																														
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																															
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																															
Other fee (specify) _____																																																																																																																																																																																																	
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Subtotal (3)		(\$ 40.00)																																																																																																																																																																																															

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Alan R. Stempel	Reg. Number	28,991
Signature		Date	2/18/98
		Deposit Account User ID	